

#4  
JDS  
11/9/01  
Docket No. 19255.04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

APPLICANT : STANLEY M. SIEGEL and DARREN M. SIEGEL  
SERIAL NO. : Unassigned ART UNIT: Unassigned  
FILED : Herewith EXAMINER: Unassigned  
FOR : CLIENT-SIDE E-COMMERCE AND INVENTORY MANAGEMENT SYSTEM, AND METHOD

THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT  
35 U.S.C. 6; 37 C.F.R. 1.97; 1.98

Submitted herewith are copies of prior art domestic patents, foreign patents and/or publications identified and discussed in the specification of the above identified application under the heading: "DESCRIPTION OF THE RELATED ART." These are identified on the attached PTO-1449 form.

The discussion of these prior art patents and/or publications in the attached specification is believed to satisfy the duty to disclose requirements as set forth in the above identified statute and rules.

Respectfully submitted,



Richard C. Litman  
Registration No. 30,868  
(703) 486-1000

RCL:jrw



|  |   |            |
|--|---|------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE CITATION<br/>         IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>19255.04                        | SERIAL NO. |
|  | APPLICANT<br>Stanley M. SIEGEL and Darren M. SIEGEL |            |
|  | FILING DATE<br>July 12, 2001                        | GROUP      |

J1046 U.S. PTO  
 09/902797  
 07/12/01

### U.S. PATENT DOCUMENTS

| Examiner<br>Initials | DOCUMENT NUMBER | DATE    | NAME           | CLASS | SUBCLASS | FILING DATE |
|----------------------|-----------------|---------|----------------|-------|----------|-------------|
|                      | 4,713,761       | 12/1987 | Sharpe et al.  | 705   | 30       |             |
|                      | 5,615,109       | 03/1997 | Eder           | 705   | 8        |             |
|                      | 5,694,551       | 12/1997 | Doyle et al.   | 395   | 226      |             |
|                      | 5,721,906       | 02/1998 | Siefert et al. | 395   | 609      |             |
|                      | 5,727,164       | 06/1998 | Kaye et al.    | 395   | 228      |             |
|                      | 5,729,733       | 03/1998 | Sharif-Askary  | 395   | 608      |             |
|                      | 5,734,719       | 03/1998 | Tsevdos et al. | 380   | 5        |             |
|                      | 5,825,881       | 10/1998 | Colvin, Sr.    | 380   | 24       |             |
|                      | 5,884,035       | 09/1999 | Butman et al.  | 395   | 200.48   |             |
|                      | 5,890,136       | 03/1999 | Kipp           | 705   | 22       |             |

### FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | Translation<br>YES NO |
|-----------------|---------|---------|-------|----------|-----------------------|
| 0 697 669       | 02/1996 | Europe  |       |          |                       |
| 10-320494       | 12/1998 | Japan   |       |          |                       |

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|  |  |
|--|--|
|  |  |
|  |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



|  |   |            |
|--|---|------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE CITATION<br/>         IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>19255.04                        | SERIAL NO. |
|  | APPLICANT<br>Stanley M. SIEGEL and Darren M. SIEGEL |            |
|  | FILING DATE<br>July 12, 2001                        | GROUP      |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials |  | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE |
|----------------------|--|-----------------|---------|-----------------|-------|----------|-------------|
|                      |  | 5,897,622       | 04/1999 | Blinn et al.    | 705   | 26       |             |
|                      |  | 5,911,143       | 06/1000 | Deinhart et al. | 707   | 103      |             |
|                      |  | 5,918,227       | 06/1999 | Polnerow et al. | 707   | 10       |             |
|                      |  | 5,920,848       | 07/1999 | Schutzer et al. | 705   | 42       |             |
|                      |  | 5,924,094       | 07/1999 | Sutter          | 707   | 10       |             |
|                      |  | 5,940,807       | 08/1999 | Purcell         | 705   | 26       |             |
|                      |  | 5,940,834       | 08/1999 | Pinard et al.   | 707   | 102      |             |
|                      |  | 5,956,727       | 09/1999 | Cheng et al.    | 707   | 102      |             |
|                      |  | 5,969,324       | 10/1999 | Reber et al.    | 235   | 462.13   |             |
|                      |  | 5,987,506       | 11/1999 | Carter et al.   | 709   | 213      |             |

**FOREIGN PATENT DOCUMENTS**

|  |  | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | Translation<br>YES NO |  |
|--|--|-----------------|---------|---------|-------|----------|-----------------------|--|
|  |  | 11-16173        | 06/1999 | Japan   |       |          |                       |  |
|  |  |                 |         |         |       |          |                       |  |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

|  |  |  |
|--|--|--|
|  |  |  |
|  |  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



|  |   |            |
|--|---|------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE CITATION<br/>         IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>19255.04                        | SERIAL NO. |
|  | APPLICANT<br>Stanley M. SIEGEL and Darren M. SIEGEL |            |
|  | FILING DATE<br>July 12, 2001                        | GROUP      |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials | DOCUMENT NUMBER | DATE    | NAME               | CLASS | SUBCLASS | FILING DATE<br>if appropriate |
|----------------------|-----------------|---------|--------------------|-------|----------|-------------------------------|
|                      | 6,003,019       | 12/1999 | Eaton et al.       | 705   | 42       |                               |
|                      | 6,014,454       | 01/2000 | Kunkler            | 382   | 137      |                               |
|                      | 6,014,639       | 01/2000 | Fohn et al.        | 705   | 27       |                               |
|                      | 6,021,396       | 02/2000 | Ramaswamy et al.   | 705   | 28       |                               |
|                      | 6,058,373       | 05/2000 | Blinn et al.       | 705   | 26       |                               |
|                      | 6,067,527       | 05/2000 | Lovell             | 705   | 21       |                               |
|                      | 6,101,485       | 08/2000 | Fortenberry et al. | 705   | 27       |                               |
|                      | 6,167,378       | 12/2000 | Webber, Jr.        | 705   | 8        |                               |
|                      | 6,247,032       | 06/2001 | Bernardo et al.    | 707   | 530      |                               |
|                      |                 |         |                    |       |          |                               |

**FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | Translation<br>YES NO |  |
|--|-----------------|---------|---------|-------|----------|-----------------------|--|
|  | 3,934,238       | 04/1991 | GERMANY |       |          |                       |  |
|  |                 |         |         |       |          |                       |  |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

|  |  |
|--|--|
|  |  |
|  |  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.